

Patent
6646-114N9

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9/11/02
TRK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 09/881,393

Filed: June 13, 2001

For: VOICE-DATA TELEPHONIC
INTERFACE CONTROL SYSTEM

Group Art Unit: 2643

Examiner: Woo, S.

RECEIVED

SEP 10 2002

Technology Center 2600

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)

Commissioner for Patents
Washington, D.C. 20231

09/06/2002 66EBREGI 00000087 09881393

01 FC:126

180.00 OP

Sir:

Applicant is submitting copies of Forms PTO-1449 (SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, and IX and one other received by the U.S. Patent and Trademark Office on November 13, 1998) citing prior art that was considered by the same Examiner (as evidenced by the Examiner's initials) in parent application Serial No. 08/306,456 (now U.S. Patent No. 6,335,965). Applicant is also submitting duplicate Forms PTO-1449 for the Examiner to initial with respect to the present application.

CERTIFICATE OF MAILING
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date of Deposit

August 30, 2002

Reena Kuyper

Since submitting these Forms PTO-1449 with respect to parent Serial No. 08/306,456, other information or references had come to Applicant's attention (cited in a related application Serial No. 08/482,218) that may be of interest. Applicant respectfully notes that such information is not more relevant than the cumulative art of record before the U.S. Patent and Trademark Office. Nonetheless, Applicant respectfully submits this information again for the Examiner's independent consideration. Additional Forms PTO-1449 that are captioned Supplemental X cite materials brought to Applicant's attention by a company named Vanguard Group, Inc.. Applicant has also learned of a Brigham Young University (hereafter "BYU") BYU reference, likely, a thesis found in the BYU library, or possibly the BYU student registration system, that may be of background interest. Applicant was not provided with a copy of this reference and therefore cannot provide it to the U.S. Patent and Trademark Office. Supplement X also cites an article entitled "*100 Cool Call Center Things*," which describes when specific aspects of call center technology were introduced.

Also, Applicant submits additional Forms PTO-1449 captioned Supplemental XI, which cites patents recently cited by Examiner, in Applicant's related Application Serial No. 09/861,377. The undersigned is providing copies of references cited in Supplemental X. It is assumed that the Examiner can obtain copies of the patents cited in Supplemental XI on-line.

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list references should be placed in this file to make it available for future access by others.

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 C.F.R. § 1.56. The submission of these documents by Applicant should not be construed as an admission that any such patent, publication or other

information referred to in these documents is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention, unless specifically designated by Applicant as such.

The filing of this Information Disclosure Statement should not be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. A check in the amount of \$180.00 to cover the fees designated by 1.17(p) is included. The Examiner is hereby authorized to charge any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. **50-1636**.

Dated:

August 30, 2002

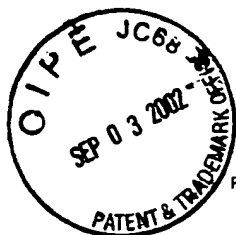
9220 Sunset Blvd., Suite 315
Los Angeles, California 90069
(310) 247-8191

Respectfully submitted,

By:

Reena Kuyper

Reena Kuyper
Reg. No. 33,830



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SEP 10 2002

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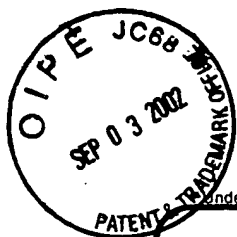
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/881,393
	Filing Date	June 13, 2001
	First Named Inventor	Ronald A. KATZ
	Group Art Unit	2643
	Examiner Name	S. Woo
Total Number of Pages in This Submission	Attorney Docket Number	6646-114N9

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, IX, X and XI; copies of PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII and IX from U.S. Application Serial No. 08/306,456; Return postcard	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Reena Kuyper, Registration No. 33,830
Signature	
Date	August 30, 2002

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Signature		Date	August 30, 2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	09/881,393	RECEIVED
Filing Date	June 13, 2001	
First Named Inventor	Ronald A. KATZ	SEP 10 2002
Examiner Name	S. Woo	
Group Art Unit	2643	Technology Center 2600
Attorney Docket No.	6646-114N9	

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

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Deposit Account Name: A2D, L.P.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid
Total Claims -20** = 0 X 0.00 = 0.00
Independent Claims -3** = 0 X 0.00 = 0.00
Multiple Dependent 0 = 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	180.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) Terminal Disclaimer

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

Name (Print/Type)	Reena Kuyper	Registration No. (Attorney/Agent)	33,830	Telephone	(310) 247-8191
Signature		Date	August 30, 2002		

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FORM PTO-1449

SET I

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

Patent No. (optional)
6646114N5

Applicant
Ronald A. Katz

Filing Date
September 14, 1994

Application No.
08/306,456

Group Art Unit
2608

74 MAIL ROOM NO. 9 1994 PAT. & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SW	3,644,675	02/22/1972	Waltington			
	4,071,698	01/31/1978	Barger Jr. et al			
	4,302,810	11/24/1981	Bouriclus et al			
	4,549,047	10/22/1985	Brian et al			
	4,585,906	04/29/1986	Matthews et al			
	4,763,191	08/09/1988	Gordon et al			
	4,785,408	11/15/1988	Britton et al			
	4,792,968	12/20/1988	Katz			
	4,845,739	07/04/1989	Katz			
	4,852,154	07/25/1989	Lewis et al			
	4,866,756	09/12/1989	Crane et al			
	4,996,705	02/26/1991	Entenmann et al			
SW	5,014,298	05/07/1991	Katz			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SW	WO 87/00375	01/15/1987	PCT (Int. Application)			
SW	1,162,336	02/14/1984	Canada			


OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SW	Lexis Search Results (Great American Potato-Chip giveaway/Raisin Bran Game/Giants Baseball Trivia -- Dial Info): "In The Chips" AdWeek, July 22, 1985 "San-Fran-Police-League", Business Wire, Aug. 2, 1985 "Similar Campaigns", DM News, Dec. 15, 1985 "Phone Offers Action At Push Of Button", Advertising Age, Feb. 6, 1986
SW	Boles, Stephen J., "A Computer Based Audio Communication System", <u>Computer Sciences Department</u> , Thomas J. Watson Research Center, Yorktown Heights, New York, USA, pp. 701-704 - (Article)

EXAMINER SW	DATE CONSIDERED 9-2-95
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.


FORM PTO-1449 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608	
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE


FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
<div style="text-align: center;">SW</div>	Winckelmann, W.A., "Automatic Intercept Service", <u>Bell Laboratories Record</u> , May 1968, Vol 46, No. 5, pp. 138-143 - (Article)
	"Proposed Agreement Between National Enterprises Board (N.E.B.) and Delphi", Jan. 30, 1979 Voysey, Hedley, "Nexos wins rights to comms engine", <u>Computing</u> , Sept. 6, 77, Vol. 7, no. 36 - (Article) "Appraisal Of The Fair Market Value Of Delphi Communications", Apr. 30, 1980 - (Study) Delphi Communications - (Charts and Exhibits)
	"Voice-Response System Improves Order Entry, Inventory Control", <u>Communication News</u> , Aug. 1976 - (Article) "Periphonics VOICEPACK" - (Brochure) "The Voice Response Peripheral That Turns Every Touch-Tone Telephone Into A Computer Terminal", Periphonics Corporation - (Brochure)
	Rabin, Jeff, "Minorities Seek 30% Share of All Lottery Operations", <u>Sacramento Bee</u> , April 12, 1985 - (Article)
	Advertisements (Dial Giants Baseball Trivia Game): <u>San Francisco Chronicle</u> , July 3, 1984 Curtis, Cathy, "976 numbers let you dial-a-whatever", <u>San Francisco Business Journal</u> , Nov. 26, 1984 - (Article) Ferrell, Jane, "Three little numbers for instant information", <u>San Francisco Chronicle</u> , Aug. 15, 1984 - (Article)
	"Dallas Telephone Call-In Game Uses Computer Voice Interface", Sept. 24, 1984 - (Press Release)
	Rivest, R.L., et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems", <u>Communications of the ACM</u> , Feb. 1978, Vol. 21, No. 2, pp. 120-126 - (Article)
	Finnigan, Paul F, "Audiotex: The telephone as data-access equipment", <u>Data Communications</u> , 1987, pp. 155-161 (Article)
	Ozawa, Y., et al., "Voice Response System and Its Applications", <u>Hitachi Review</u> , Dec. 1979, Vol. 28, No. 6, pp. 301-305 - (Article)
	"AT&T 2: Reaches agreement with Rockwell (ROK)", Aug. 26, 1986 - (Press Release) "AT&T: Expands Computer speech system product line", April 14, 1986 - (Press Release)
<div style="text-align: center;">SW</div>	Adams, Cynthia, "Conversing With Computers", <u>Computerworld on Communications</u> , May 18, 1983, Vol. 17, No. 20A, pp. 36-44 - (Article)

EXAMINER <div style="text-align: center;">  </div>	DATE CONSIDERED <div style="text-align: center;"> 9-2-95 </div>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608	
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PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

FOREIGN PATENT DOCUMENTS						
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
<i>SW</i>	Hester, S.D., et al., "The AT&T Multi-Mode Voice Systems - Full Spectrum Solutions For Speech Processing Applications", Sept. 1985, pp. 1-10 - (Proceedings Of The 1985 AVIOS Conference)
	Davidson, Leon, "A Pushbutton Telephone For Alphanumeric Input", <u>Datamation</u> , Apr. 1966, pp. 27-30 - (Article)
	Advertisement: Cuervo Gold Beach Chair, VoiceMail Int'l, '83
	"Digital's All-In-1 Voice Messaging", <u>Digital</u> - (Brochure) "Access Voice and Mail Messages From One Familiar Source", <u>Insight</u> , - (Article)
	"Get The Message...!" "New VoiceMail Features", <u>Voicemail International, Inc.</u> , Oct. 1984 - (Article)
	Brochures (TWA Crew Scheduling/PSA's Reservation System/Universal Studios Program/Dow Phone): "AVIAR The communication system that keeps you flying", VoiceMail Int'l, - (Brochure) "TWA VOICEMAIL, Flight Attendants Users Guide" Aug. 1986, - (Brochure) Holtzman, Henry, "Voice Mail Soars At TWA", <u>Modern Office Technology</u> (Reprint), Mar. 1986, - (Article) "Bid Results via VOICEMAIL - Flight Deck Crew Members", May 1, 1985 (Script) Borden, W.S., "Flight Attendant Self Input Of Monthly Bids Via Touch Tone Telephone", <u>In-Flight Services Bulletin</u> , Sept. 15, 1985 - (Memo) "Look Ma, no operators! Automatic voice system does many airline jobs", <u>Air Transport World</u> , Oct. 1986 - (Article) "4,000,000 Shares Common Stock" <u>Voicemail International, Inc.</u> , Jan 10, 1984 - (Public Offering Summary) Levinson, S.E., et al., "A Conversational-Mode Airline Information and Reservation System Using Speech Input and Output", <u>The Bell System Technical Journal</u> , Jan. 1980, Vol. 59, No. 1, pp. 119-137 - (Chapter from a Book)
	Emerson, S.T., "Voice Response Systems - Technology to the Rescue for Business Users", <u>Speech Technology</u> , Jan/Feb '93, pp. 99-103 - (Article)
<i>SW</i>	Moslow, Jim, "Emergency reporting system for small communities", <u>Telephony</u> , Feb. 11, 1985, pp. 30-32, 34 - (Article)

EXAMINER <i>SW</i>	DATE CONSIDERED 9-2-95
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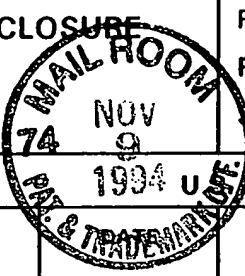
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Rabiner, L.R., et al., "Digital Techniques for Computer Voice Response: Implementation and Applications", <u>Proceedings Of The IEEE</u> , Apr. 1976, Vol. 64, No. 4, pp. 416-432 - (Article)
	Moosemiller, J.P., "AT&T's CONVERSANT™ I Voice System" <u>Speech Technology</u> , Mar/Apr. 1986, pp. 88-93 - (Article)
	Frank, R.J., et al., "No. 4 ESS: Mass Announcement Capability", <u>The Bell System Technical Journal</u> , July/Aug. 1981, Vol. 60, No. 6, Part 2, pp. 1049-1081 - (Chapter from a Book)
	"Chapter I General Description" <u>D.I.A.L. PRM/Release 3 - Version 2</u> Mar. 1987 (Product Reference Manual) "Announcing Release 3.3" <u>D-A-S-H- D.I.A.L. Application and Support Hints</u> , Jan/Feb. Mar. 1987, Vol. 3, No. 1 - (Brochure) "D.I.A.L. Software Release 4", <u>OPCOM</u> , Jan. 1988, Version 1 - (Product Reference Manual)
	Brady, R.L., et al., "Telephone Identifier Interface", <u>IBM Technical Disclosure Bulletin</u> , Oct. 1976, Vol. 19, No. 5, pp. 1569-1571 - (Article)
	Corbett, A.J., "Telephone Enquiry System Using Synthetic Speech", <u>University of Essex</u> , Dec. 1974, (Thesis)
	Yeshizawa, K., et al., "Voice Response System for Telephone Betting", <u>Hitachi Review</u> , June 1977, Vol. 26, No. 6 - (Article)
	Sagawa, S., et al., "Automatic Seat Reservation By Touch-Tone Telephone", <u>Second USA Japan Computer Conference</u> , 1975, Vol. 2, pp. 290-294 - (Article)
	Smith, S.L., "Computer-Generated Speech and Man-Computer Interaction", <u>Human Factors</u> , 1970, 12(2), pp. 215-223 - (Article)
	Newhouse, A., et al., "On The Use Of Very Low Cost Terminals", <u>University of Houston</u> , pp. 240-249 - (Paper)
	Mullen, R.W., "Telephone - home's 'friendliest' Computer", <u>Inside Telephone Engineer And Management</u> , May 15, 1985, Vol 89, No. 10, - (Article)

EXAMINER	DATE CONSIDERED 9-2-95
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FOREIGN PATENT DOCUMENTS						
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	


OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
<div style="font-family: cursive; font-size: 1.2em;">Su</div>	<ul style="list-style-type: none"> ✓ "Telephone Computing Entering Service Bureau Business", <u>American Banker</u>, July 5, 1979 - (Article) ✓ Kutler, Jeffrey, "Technology, System Sharing Improve Phone Banking Outlook", <u>American Banker</u>, Dec. 7, 1979, Vol. CXLIV, No. 237 - (Article) ✓ Kutler, Jeffrey, "Phone Bill Paying Accessed by Pioneer", <u>American Banker</u>, Dec. 7, 1979, Vol. CXLIV, No. 237 - (Article)
	<ul style="list-style-type: none"> ✓ "User's Guide", <u>Dowphone</u> ✓ "Audiotex Information From Dow Jones", <u>The Computer Review</u>, Nov. 1984, Vol. 2, No. 1 - (Article) ✓ "Dow Phone Adds Innovest Systems' Technical Analysis Reports" <u>IDP Report</u>, Jan. 3, 1986 - (Report)
	<ul style="list-style-type: none"> ✓ Perdue, R.J., et al., "Conversant 1 Voice System: Architecture and Applications", <u>AT&T Technical Journal</u>, Sept/Oct. 1986 - (Article)
	<ul style="list-style-type: none"> ✓ Martin, James, "Design of Man-Computer Dialogues", <u>IBM System Research Institute</u>, Chapter 16, pp. 283-306 - (Chapter from a Book)
	<ul style="list-style-type: none"> ✓ Kaiserman, D.B., "The Role Of Audio Response In Data Collection Systems", <u>Proceedings of the Technical Sessions</u>, Palais des Expositions, Geneva, Switzerland, June 17-19, 1980, pp. 247-251 - (Article)
	<ul style="list-style-type: none"> ✓ Boles, S.J., et al., "User Interface for Audio Communication System", <u>IBM Technical Disclosure Bulletin</u>, Dec. 1982, Vol. 25, No. 7A, pp. 3371-3377 - (Article)
	<ul style="list-style-type: none"> ✓ Kramer, J.J., "Human Factors Problems in the Use of Pushbutton Telephones for Data Entry", <u>Bell Telephone Laboratories</u>, Holmdel, N.J., April 74, pp. 241-258 - (Paper)
	<ul style="list-style-type: none"> ✓ Cox, Jr., Floyd, "Flora Fax", Jan. 22, 1986 - (Letter and Advertisements)
	<ul style="list-style-type: none"> ✓ Isayama, Tetsuya, "Automatic Response Processing Equipment as a Multi-media Communication Node", <u>Japan Telecommunications Review</u>, 1987, Vol. 29, No. 1, pp. 29-36 - (Article)
	<ul style="list-style-type: none"> ✓ Imai, Y., et al., "Shared Audio Information System Using New Audio Response Unit" <u>Japan Telecommunications Review</u>, Oct. 1981, Vol. 23, No. 4, pp. 383-390 - (Article)
<div style="font-family: cursive; font-size: 1.2em;">Su</div>	<ul style="list-style-type: none"> ✓ "Distrust of computer kills home service plan"




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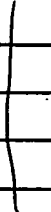

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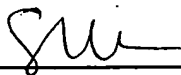
FORM PTO-1449 SUPPLEMENTAL I INFORMATION DISCLOSURE CITATION IN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608	
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U.S. PATENT DOCUMENTS						
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	2,941,161	06/14/1960	Scantlin			
	3,060,275	10/23/1962	Meacham et al.			
	3,076,059	01/29/1963	Meacham et al.			
	3,082,402	03/19/1963	Scantlin			
	3,128,349	04/07/1964	Boesch et al.			
	3,159,818	12/01/1964	Scantlin			
	3,249,919	05/03/1966	Scantlin			
	3,299,210	01/17/1967	Bandy			
	3,337,847	08/22/1967	Olsson et al.			
		3,347,988	10/17/1967	Marill		

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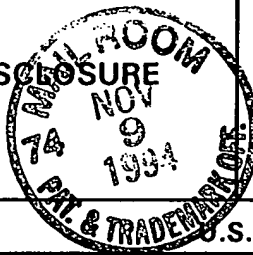
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Lanzeter, Ygal, "Automatic Number Identification System For Step-By-Step Exchanges", <u>The Ninth Convention of Electrical and Electronics Engineers In Israel</u> , April 1975 - (Paper)
	Flanagan, J.L., et al., "Speech Synthesis", Chapters 1, 39, 42, 45 and 46 - (Chapter from a Book)
	"Bell Atlantic's Bolger Wants To Be Free", <u>Telephony</u> , July 14, 1986 - (Article)
	"Advanced New Cable TV Technology Developed For Impulse-Pay-Per-View", June 3, 1985 - (Search)
	Noll, M.A., "Introduction to Telephones & Telephone Systems", Second Edition, Chapter 9 - (Chapter from a Book)
	Proposal for Kome Mediavoice Interactive Phone/Database Marketing System", "Mediavoice Startup Software Package For Kome" "Optional Mediavoice Software Packages For Kome" "Why ATI Mediavoice Is The Choice For Success" - (Proposal)

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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

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<i>SW</i>	3,371,162	02/27/1968	Scantlin			
	3,381,276	04/30/1968	James			
	3,482,057	12/02/1968	Abbott et al.			
	3,515,814	06/02/1970	Morgan			
	3,557,311	01/19/1971	Goldstein			
	3,568,157	03/02/1971	Downing			
	3,569,939	03/09/1971	Doblmaier et al.			
	3,571,799	03/23/1971	Coker, Jr., et al.			
	3,573,747	04/06/1971	Adams et al.			
<i>SW</i>	3,581,072	05/25/1971	Nymeyer			

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>SW</i>	Meade, Jim, Dec., 29, 1992 - (Letter)
	"All About Voice Response", <u>Datapro Research Corporation</u> , Delran, N.J., March 1972 and Sept. 1974 - (Article)
	"Voice Response in Banking Applications", <u>Datapro Research Corporation</u> , Delran, N.J., Oct. 1974 and Feb. 1983 - (Article)
	Schiller, T.R., "Field Craft Technician Communication With A Host Computer Synthesized Voice", <u>Proceedings AVIOS '86 Voice I/O Systems Applications Conference</u> , Sept. 16-18, 1986
	Rabin, Richard, "Telephone Access Applications: The Growth Market For Voice Processing", <u>Proceedings AVIOS '86 Voice I/O Systems Applications Conference</u> , Oct. 6-8, 1987
	Schuster, E.R., "B.R.U.T.U.S. Better Registration Using Touch-Tone phones for University Students", <u>Proceedings AVIOS '86 Voice I/O Systems Applications Conference</u> , Oct. 4-6, 1988
	"Exxon's Next Prey. IBM and XEROX", <u>BusinessWeek</u> , April 28, 1980, pp. 92-96 and 103 - (Article)
<i>SW</i>	Weinstein, S.B., "Emerging Telecommunications Needs of the Card Industry", <u>IEEE Communications Magazine</u> , July 1984, Vol. 22, No. 7, pp 26-31 - (Article)

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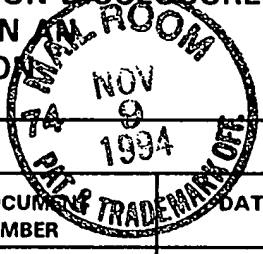
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[Signature]	3,618,038	11/02/1971	Stein			
	3,624,292	11/30/1971	Guzak, Jr.			
	3,647,973	03/07/1972	James et al.			
	3,651,480	03/21/1972	Downing et al.			
	3,656,113	04/11/1972	Lince			
	3,665,107	03/23/1972	Kopec et al.			
	3,675,513	07/11/1972	Flanagan et al.			
	3,697,702	10/10/1972	Buonsante et al.			
	3,781,810	12/25/1973	Downing			
[Signature]	3,792,446	02/12/1974	McFiggins et al.			


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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
[Signature]	"Riding Gain", <u>Broadcasting</u> , March 7, 1983 - (Article)
[Signature]	Pickup, Mike, "Bank from home, by screen or by phone", <u>Building Society Gazette</u> , July 1988 - (Article)
[Signature]	Pickup, Mike, "Voice Response", <u>Computer Systems</u> , Sept. 1986 - (Article)
[Signature]	Rabner, L.R., et al., "Isolated and Connected Word Recognition - Theory and Selected Applications", <u>IEEE Transaction Communications</u> , May 1981, Com. 29, No. 5, pp. 621, 622, 633, 644-646, 655-659 - (Article)
[Signature]	Takahashi, K., et al., "The Audio Response System for Telephone Reservation", <u>U.D.C.</u> Oka, Y., et al., "Development of Ventilating Equipment for Shinkansen Train", <u>U.D.C.</u> - (Articles in Japanese)
[Signature]	Pagones, M.J., et al., "New services follow increased digitization on the long-haul transmission network", <u>AT&T Bell Laboratories Record</u> , 1983, Vol. 61, pp. 25-33 - (Article)
[Signature]	"New phone service tells customer who's calling", <u>Bell Laboratories Record</u> , 1984, Vol. 62, p. 9 - (Article)

EXAMINER <div style="text-align: center;">[Signature]</div>	DATE CONSIDERED <div style="text-align: center;">9-2-95</div>
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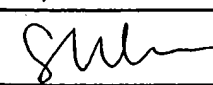
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fu	3,858,032	12/31/1974	Scantlin			
	3,870,821	03/11/1975	Steury			
	3,914,747	10/21/1975	Barnes et al.			
	3,920,908	11/18/1975	Kraus			
	3,928,724	12/23/1975	Byram et al.			
	3,974,338	08/10/1976	Luzier et al.			
	3,982,103	09/21/1976	Goldman			
	3,989,899	11/02/1976	Norwich			
	3,991,406	11/09/1976	Downing et al.			
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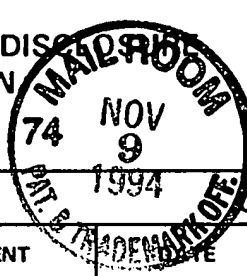
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
fu	Hirschman, C.B., et al., "LASS: Putting the telephone customer in charge", <u>Bell Laboratories Record</u> , 1985, Vol. 63, pp. 10-16 - (Article)
	AT&T building communications network for Defense Department" and "AT&T inaugurates pay-per-view TV", <u>Bell Laboratories Record</u> , 1986, Vol. 64, p. 2 - (Article)
	"Power To...", <u>Dialogic Corporation</u> , Littleton Road, - (unidentifiable Article)
	"Representative Customer List For Interface Technology's Total Entry System", "Toes Solutions - Pharmaceutical Manufacturer", "The Voice Response Solution For Answering Customer/Sales Calls", "Toes Solutions - Orthopedic Equipment" and "Toes Solutions -Convenience Store" - (Articles)
	Lummis, R.C., "Speaker Verification: A Step Toward the "Checkless" Society", <u>Bell Laboratories Record</u> , pp. 254-259 - (Article)
fu	Flanagan, J.L., et al., "Synthetic voices for computers", <u>IEEE Spectrum</u> , Oct. 1970, Vol. 7, No. 10, pp. 22-45 - (Article)

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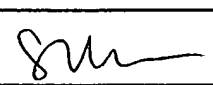
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U.S. PATENT DOCUMENTS						
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fu	4,012,599	03/16/1977	Meyer			
	4,024,345	05/17/1977	Kochem			
	4,088,838	05/09/1978	Nakata et al.			
	4,108,361	08/22/1978	Krause			
	4,150,255	04/17/1979	Theis et al.			
	4,152,547	05/01/1979	Theis			
	4,160,125	07/03/1979	Bower et al.			
	4,187,498	02/05/1980	Creekmore			
	4,200,770	04/29/1980	Hellman et al.			
fu	4,201,887	05/06/1980	Burns			

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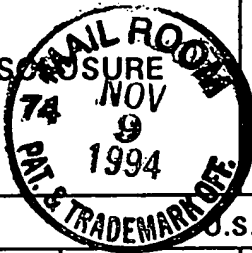
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
fu	Rabiner, L.R., et al., "Computer Synthesis of Speech by Concatenation of Formant-Coded Words", <u>The Bell System Technical Journal</u> , May/June 1971, pp. 1541-1558 - (Chapter from a Book)
	Flanagan, J.L., et al., "Wiring Telephone Apparatus from Computer-Generated Speech", <u>The Bell System Technical Journal</u> , Feb. 1972, pp. 391-397 - (Chapter from a Book)
	Hornsby, Jr., Thomas G., "Voice Response Systems", <u>Modern Data</u> , Nov. 1972, pp. 46-50 - (Article)
	Diffie, W., et al., "New Directions in Cryptography", <u>IEEE Transactions On Information Theory</u> , Nov. 1976, Vol. IT-22, No. 6, pp. 644-654 - (Article)
	Rosenthal, L.H., et al., "Automatic voice response: interfacing man with machine", <u>IEEE Spectrum</u> , July 1974, Vol. 11, No. 7 - (Article)
fu	Rosenthal, L.H., et al., "A Multiline Computer Voice Response System Utilizing ADPCM Coded Speech", <u>IEEE Transactions on Acoustics, Speech, and Signal Processing</u> , Oct. 1974, Vol. ASSP-22, No. 5, pp. 339-352 - (Article)

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6646-114N5

Applicant

Ronald A. Katz

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2608

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>h</i>	4,223,183	09/16/1980	Peters, Jr.			
	4,232,199	11/04/1980	Boatwright			
	4,260,854	04/07/1981	Kolodny et al.			
	4,270,024	05/26/1981	Theis et al.			
	4,303,804	12/01/1981	Johnson et al.			
	4,307,266	12/22/1981	Messina			
	4,317,961	03/02/1982	Johnson			
	4,320,256	03/16/1982	Freeman			
	4,323,770	04/06/1982	Dieulot et al.			
<i>h</i>	4,328,396	05/04/1982	Theis			

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>h</i>	Flanagan, James L., "Computers that Talk and Listen: Man-Machine Communication by Voice", <u>Proceedings for the IEEE</u> , April 1976, Vol. 64, No. 4, pp. 405-415 - (Article)
	Maisel, Ivan, "To Put Your Baseball Savvy On The Line, Pick Up The Phone And Call", <u>Sports Illustrated</u> , Sept. 3, 1984 - (Script)
	Brown, Merrill, "Hollywood Saga: Who Bought J.R.?", <u>The Washington Post</u> , Final Edition, Oct. 14, 1984 - (Script)
	"SPECIAL-OLYMPICS; Teams with baseball trivia expert Brad Curtis", <u>Business Wire</u> , Sept. 30, 1985 - (Script)
	Lucas, W.A., et al., "The Spartanburg Interactive Cable Experiments In Home Education", <u>Rand Corp.</u> , U.S. Department of Commerce, National Technical Information Service, Feb., 1979 - (Publication)
	Martin, James, "Viewdata And The Information Society", - (Book)
	Gawrys, G.W., "Ushering In The Era Of ISDN", <u>AT&T Technology</u> , 1986, Vol. 1, No. 1, pp. 2-9 - (Article)
<i>h</i>	Gummings, J.L., et al., "AT&T Network Architecture Evolution", <u>AT&T Technical Journal</u> , May/June 1987, Vol. 66, Issue 3, pp. 2-12 - (Article)

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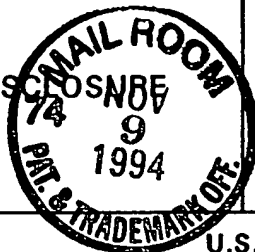
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<i>SW</i>	4,338,494	07/06/1982	Theis			
	4,339,798	07/13/1982	Hedges et al.			
	4,355,372	10/19/1982	Johnson et al.			
	4,371,752	02/01/1983	Matthews et al.			
	4,389,546	06/21/1983	Glisson et al.			
	4,393,277	07/12/1983	Besen et al.			
	4,405,829	09/20/1983	Rivest et al.			
	4,420,656	12/13/1983	Freeman			
	4,427,848	01/24/1984	Tsakanikas			
<i>SW</i>	4,439,635	03/27/1984	Theis et al.			

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<i>SW</i>	Yates, C.E., "Telemarketing And Technology: Perfect Business Partners", <u>AT&T Technology</u> , 1987, Vol. 1, No. 3, pp. 48-55 - (Article)
	Herr, T.J., "ISDN Applications In Public Switched Networks", <u>AT&T Technology</u> , 1987, Vol. 2, No. 3, pp. 56-65 - (Article)
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	Aldefeld, B., et al., "Automated Directory Listing Retrieval System Based on Isolated Word Recognition", <u>Proceedings of the IEEE</u> , Nov. 1980, Vol. 68, No. 11, pp. 1364-1379 - (Article)
	Rabiner, L.R., et al., "On the Application of Embedded Training to Connected Letter Recognition for Directory Listing Retrieval", <u>AT&T Bell Laboratories Technical Journal</u> , March 1984, Vol. 63, No. 3, pp. 459-477 - (Chapter from a Book)
<i>SW</i>	Rosenberg, A.E., et al., "Recognition of Spoken Spelled Names for Directory Assistance Using Speaker-Independent Templates", <u>The Bell System Technical Journal</u> , April 1980, Vol. 59, No. 4, pp. 571-592 - (Chapter from a Book)

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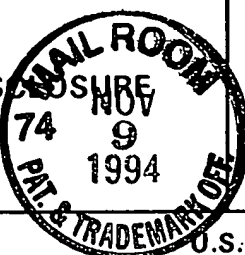
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SM	4,451,700	05/29/1984	Kempner et al.			
	4,475,189	10/02/1984	Herr et al.			
	4,494,197	01/15/1985	Troy et al.			
	4,511,764	04/16/1985	Nakayama et al.			
	4,517,410	05/14/1985	Williams et al.			
	4,518,827	05/21/1985	Sagara			
	4,539,435	09/03/1985	Eckmann			
	4,539,436	09/03/1985	Theis			
	4,544,804	10/01/1985	Herr et al.			
SM	4,547,851	10/15/1985	Kurland			


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SM	"The Voicestar Series By Periphonics", <u>Periphonics</u> , Jan. 1986 - (Publication) "Bank-From-Home system by Periphonics Corporation" "Bill Payment Success Story", <u>Periphonics Corporation</u> "A History of Imagination", <u>Periphonics</u> "Banking Success Story", <u>Periphonics Corporation</u> "DataVoice and the PDT II", <u>Periphonics Corporation</u> "Banking Success Story", <u>Periphonics Corporation</u> - (Brochures)
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	"The Remarketing of Prestel", <u>Which Computer?</u> , Aug. 1984, pp. 106, 107 and 7 - (Article)
	"Four-Line TeleClerk Calls, Answers, Stores, Surveys", <u>Hardcopy</u> , Jan. 1985, Vol. 14, No. 1 - (Article)
SM	"Peripheral Speaks On Phone", <u>Hardcopy</u> , Dec. 1984 - (Article)

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S	4,559,415	12/17/1985	Bernard et al.			
	4,559,416	12/17/1985	Theis et al.			
	4,591,664	05/27/1986	Freeman			
	4,592,546	06/08/1986	Fascenda et al.			
	4,594,476	06/10/1986	Freeman			
	4,598,367	07/01/1986	DeFrancesco et al.			
	4,603,232	07/29/1986	Kurland et al.			
	4,625,079	11/29/1986	Castro et al.			
	4,635,251	01/06/1987	Stanley et al.			
S	4,645,873	02/24/1987	Chomet			

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

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S	Page from <u>What's new in Computing</u> , April 1985 - (Article)
	Page from <u>Today</u> , A Compuserve Publication, June 1985 - (Article)
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FORM PTO-1449 SUPPLEMENTAL I INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608	
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J	4,649,563	03/10/1987	Riskin			
	4,654,482	03/31/1987	DeAngelis			
	4,665,502	05/12/1987	Kreisner			
	4,669,730	06/02/1987	Small			
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	4,692,817	09/08/1987	Theis			
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Ronald A. Katz

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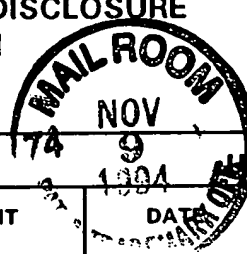
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<i> </i>	4,757,267	07/12/1988	Riskin			
<i> </i>	4,782,510	11/01/1988	Szlam			
<i> </i>	4,783,796	11/08/1988	Ladd			
<i> </i>	4,783,800	11/08/1988	Levine			
<i> </i>	4,788,716	11/29/1988	Zebe			
<i>R</i>	4,789,928	12/06/1988	Fujisaki			

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<i>S</i>	Gaines, B.R., et al., "Some Experience in Interactive System Development and Application", <u>Proceedings of the IEEE</u> , June 1975, Vol. 63, No. 6, pp. 894-911 - (Article)
<i> </i>	"Application For Registration Of Equipment To Be Connected To The Telephone Network", <u>Federal Communication Commission</u> , FCC Form 730
<i> </i>	Dudley, Homer, "The Vocoder", Circuit Research Department, Dec. 1939, pp. 122-128 - (Chapter from a Book)
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	4,799,156	01/17/1989	Shavit et al.			
	4,800,583	01/24/1989	Theis			
	4,815,031	08/21/1989	Furukawa			
	4,815,741	03/28/1989	Small			
	4,853,882	08/01/1989	Marshall			
	4,856,050	08/08/1989	Theis			
	4,876,592	10/24/1989	Von Kohorn			
[Signature]	4,876,717	10/24/1989	Barron et al.			

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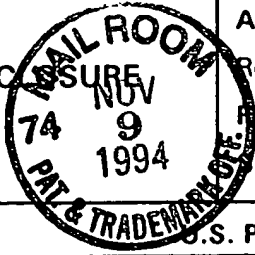
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[Signature]	Long, J., et al., "Transaction Processing Using Videotex or: Shopping on Prestel", <u>Human-Computer Interaction - INTERACT '84</u> , 1985, pp. 251-255 - (Paper)
[Signature]	<u>Electrical Communication</u> , 1981, Vol. 56, Nos. 1-4, pp. 1-110 - (Paper)
[Signature]	Conway, R.W., et al., "Tele-CUPL: A Telephone Time Sharing System", <u>Communication of the ACM</u> , Sept. 1967, Vol. 10, No. 9, pp. 538-542 - (Article)
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[Signature]	Witten, I.H., "Communicating With Microcomputers", pp. 121-158 - (Chapter from a Book)

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	"How a Computerized "Voice" Answers Customers' Inquiries", <u>Bank Automation Newsletter</u> , Feb. 1985, Vol. 19, No. 2 (Article)

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SW	4,959,783	09/25/1990	Scott et al.			
	4,961,217	10/02/1990	Akiyama			
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SW	Rickman, J., et al., "Speech Synthesizers - Communications Interface - Implementing A Touch Tone Telephone Talker With DECTalk", <u>The DEC Professional</u> , May 1985, pp. 38, 39, 42-44 (Article)
SW	"DECTALK DELIVERS", <u>Digital Review</u> , Sept. 1985 - (Article) "DECTalk turns a telephone into a terminal", - "UNIX and Digital", - "Legal protection for semiconductor chips", - "Product safety", - <u>DECWORLD</u> , April 1985, Vol. 9, No. 2, pp. 1, 3, 5, 6-8 - (Article)
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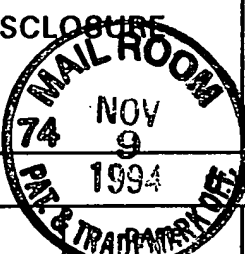
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<div style="font-size: 2em; margin-top: 20px;">S</div>	Various References/Articles attached with a letter from Smithwin Associates, dated April 22, 1992: Riley, A.A., "Latest: 2-way communication by computer and telephone" 77evens, W.?, "Computer Helps Children to Add", The New York Times, April 20, 1970 Harvey, R.W., Times, The Kiplinger Magazine "A Computerized System ???", Nov. 23, 1970, p. 14, (unidentifiable Article) "Hardware for the 'cashless society'", Electronic Design 3, Feb. 4, 1971, p. 26 Tennant, R.P., "Advanced credit system smooths operation and hastens payout", Data Processing Magazine, June 1971, Vol. 13, No. 6, pp. 34-35 "Computers that talk back to you", Business Week, Date ?? Smith, Gene, "Chatting Via Computer", New York Times, Sept. 12, 1971 EDP Weekly, (unidentifiable Article) "Did Anybody Here Call a Computer", Data Management, Feb. 1967 Skala, Martin, "Straight talk from a computer", Christian Science Monitor, June 14, 1973 "Computer for Watergate Probe", Science, June 15, 1973 "Tapping AT&T for a \$50-million refund", Business Week, June 9, 1973 "Distrust of computer kills home service plan" Scherer, Ron, "Chitchat with a computer", Christian Science Monitor, April 16, 1975, p. 2 "Trying Out the Pay-by-Phone Service", Technology Review, March/April 1976, p. 15 "Pentagon seeks more control", Electronics, April 5, 1976, p. 39 "Everyman's Computer Terminal", Industrial Research, March/April 1976, p. 14 DOD could save on test equipment"

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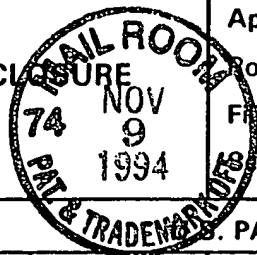
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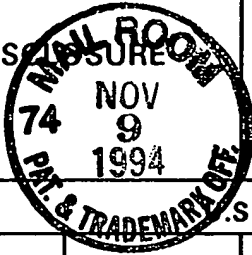
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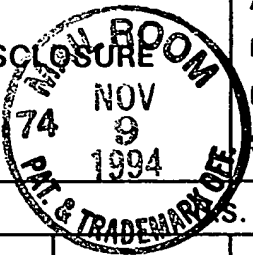
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<div style="text-align: center;"> </div>	Takahashi, T., et al., "SR-2000 Voice Processor and Its Application", <u>NEC Research and Development</u> , 1984, No. 73, pp. 98-105 - (Paper)
<div style="text-align: center;"> </div>	"Concept Diagram Voicemail International System" "Voicemail Instruction Manual", <u>Televoice International</u> , June 1981, Index
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<div style="text-align: center;"> </div>	Meade, Jim, "Throw away those pink Call-back slips", <u>InterOffice</u> , Jan/Feb. 1984, vol 3, No. 1 - (Article)
<div style="text-align: center;"> </div>	Welsh, Jack, "Everybody's Talking About Talking Bouquets", <u>Design for Profit</u> , Spring 1986, pp. 7-10 - (Article)
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<div style="text-align: center;"> </div>	Voice News, March 1982 Voice News, June 1982, <u>William W. Creitz</u> Voice News, Oct. 1982, p. 5 Voice News, Nov/Dec. 1983
<div style="text-align: center;"> </div>	"Consultant Report 287", <u>AIS American Bell Advanced Information Systems</u> , April 1983, pp. 27, 118-119, 123-124 - (Report)
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<div style="text-align: center;"> </div>	"VBX Product Family Overview", <u>NMS Natural MicroSystems</u> , pp. 1-20 - (Brochure)

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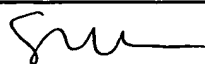
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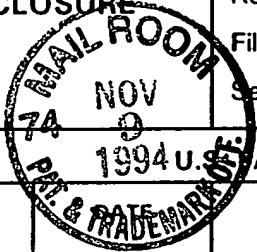



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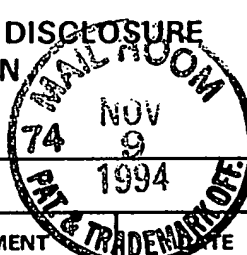
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

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PATENT DOCUMENTS					
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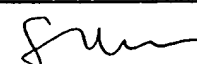
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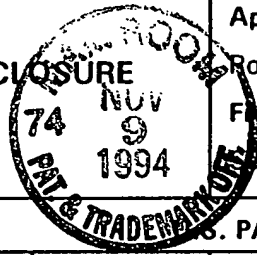
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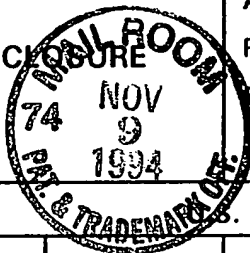
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	"Honeywell Communications Configuration Charts And Aids In Designing", <u>Data Communications</u> , pp. 3-1 - 3-7 and A
	"Burroughs Audio Response System", Reference Information for Sales Representatives, pp. 1-6 "New Product Announcement", <u>Burroughs Corporation</u> , Feb. 5, 1968
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	"Voice-Messaging System: Use It While You're In, Not Out", <u>Information WEEK</u> - (Article)
<i>SW</i>	"Corporate Performance - Companies To Watch", <u>Fortune</u> , Sept. 30, 1985 - (Article)

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<div style="font-size: 2em; margin-top: 20px;">f</div>	Pages from Company Brochure, <u>Televoice International, Inc.</u>
<div style="font-size: 2em; margin-top: 20px;">f</div>	"VMI Big Talker", <u>Voicemail International, Inc.</u> - (Newsletter)
<div style="font-size: 2em; margin-top: 20px;">f</div>	"Newsline", <u>Voicemail International, Inc.</u> , Oct. 1984 and Nov. 1984, "Voiceletter No. 1", <u>Voicemail International, Inc.</u> , Dec. 1985
<div style="font-size: 2em; margin-top: 20px;">f</div>	" A New, More Productive Way to Use the Telephone", <u>Voicemail International, Inc.</u> - (Brochure) " While You Were Out..." - (Brochure) " ?For People Who Can't Afford To Miss Messages", <u>Voicemail International, Inc.</u> - (Brochure) " Voicemail The electronic news service saves time, money and nerves", <u>Radio-Suisse Ltd.</u> , (Voicemail Agent for Europe) - (Brochure) " Are You Being Robbed of Your Time ...?", <u>Voicemail International, Inc.</u> - (Brochure)
<div style="font-size: 2em; margin-top: 20px;">f</div>	" Voicemail Instruction Manual B - 85", <u>Televoice International</u> , Nov. 1980 - (Manual) " Local Telephone Numbers" (for Voicemail) and "Televoice Is As Easy As 1, 2, 3!", <u>Televoice International</u> - (Manual) " Voicemail Instruction Manual C - 25", <u>Televoice International</u> , June 1981 - (Manual) " Telephone Numbers" (for Voicemail) and "How To Use Voicemail", <u>Televoice International</u> - (Manual) " Message Receiving/Sending" (and others), <u>Voicemail International, Inc.</u> - (Manual) " You Can Use Voicemail To Send And Receive Messages At Anytime Anywhere In The World", <u>Voicemail International, Inc.</u> , 1981 - (Brochure) " Advanced User Guide", <u>Voicemail International, Inc.</u> - (Manual) " Voicemail's Basic User's Guide", <u>Voicemail International, Inc.</u> - (Manual)
<div style="font-size: 2em; margin-top: 20px;">f</div>	" Welcome To Dowphone", <u>Dowphone</u> , Jan. 1986 - (Manual)

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<i>L</i>	"Telephone 1-800 Check-PDR", <u>Officers of Medical Economics Company, Inc.</u> , 1986 - (Circulation/Brochure)
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	"Western Union Voice Message Service User's Guide", <u>Western Union</u> , July 1984 - (Brochure)
	"PSA's 24 hour reservation system", <u>PSA</u> , Sept. 1986 - (Brochure)
	"To Better Serve Your Business, We're On Call Days, Nights and Weekends.", <u>Maryland Business Assistance Center</u> - (Brochure)
	"Voice Response: Breaks Through Call Blockage.", <u>Business Week</u> , Aug. 26, 1985 - (Advertisement for Preception Technology Corporation)
	"Tools for heavy hitters", <u>Forbes</u> , May 6, 1985
	"The Fidelity Automated Service Telephone", <u>Fidelity Group</u> - (Manual/Brochure)
	"Stockquote Hotline", <u>Norwest Brokerage Services</u> - (Brochure)
	"All You Need To Get The Stock Quotes And News You Want." <u>Dowphone</u> , 1984 - (Advertisement)
<i>m</i>	"The Most Respected Name In Telemarketing", <u>West Interactive Corporation</u> - (2 Brochures)

EXAMINER

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DATE CONSIDERED

9-2-95

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449 SUPPLEMENTAL - II INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608	
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B. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
S	3,246,082	04/12/1966	Levy			
	3,393,272	07/16/1968	Hanson			
	3,556,530	01/19/1971	Barr			
	3,688,126	08/29/1972	Klein			
	3,794,774	02/26/1974	Kemmerly et al.			
	3,909,553	09/30/1975	Marshall			
	3,934,095	01/20/1976	Matthews et al.			
	3,947,972	04/06/1976	Freeman			
	3,950,618	04/13/1976	Bloisi			
	4,017,835	04/12/1977	Randolph			
S	4,078,316	03/14/1978	Freeman			

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
S	66113/81 Pat. No. 543302	07/16/1981	Australia			
	1,022,674	12/13/1977	Canada			
	1,025,118	01/24/1978	Canada			
	1,056,500	06/12/1979	Canada			
	1,059,621	07/31/1979	Canada			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Borison, V.S., "TRANSACTION - telephone gets the fact at the point of sale", <u>Bell Laboratories Record</u> , Oct. 1975, pp. 377-383. - (Article)
	Demeautis, M., et al., "The TV 200 A Transactional Telephone", <u>Commutation & Transmission</u> n° 5, 1985, pp. 71-82 - (Article)
S	Eriksson, G., et al., "Voice and Data Workstations and Services in the ISDN", <u>Ericsson Review</u> , May 1984, pp. 14-19 - (Article)

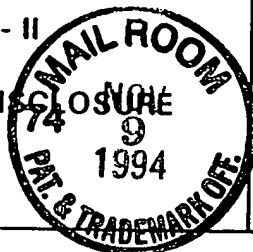
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FORM PTO-1449

SUPPLEMENTAL - II

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION



Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SW</i>	4,090,038	05/16/1978	Biggs			
	4,145,578	03/20/1979	Orriss			
	4,191,860	03/04/1980	Weber			
	4,194,089	03/18/1980	Hashimoto			
	4,243,844	01/06/1981	Waldman			
	4,255,618	03/10/1981	Danner et al.			
	4,264,924	04/28/1981	Freeman			
	4,264,925	04/28/1981	Freeman et al.			
	4,277,649	07/07/1981	Sheinbein			
	4,290,141	09/15/1981	Anderson et al.			
	4,314,103	02/02/1982	Wilson			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
1,225,759	08/18/1987	Canada			
Pub. 0,249,575	12/16/1987	EPC			
Pub. 0,295,837	12/21/1988	EPC			
Pub. 0,342,295	11/23/1989	EPC			
Pub. 0,434,181	06/26/1991	EPC			

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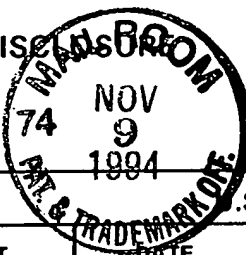
	Schrage, Michael, "A Game Von Meister in Pursuit of Profits", <u>Washington Post</u> , Sept. 23, 1985 - (Article)
	Evigals, J., "Low Cost Point-Of-Sale Terminal", <u>IBM Technical Disclosure Bulletin</u> , Sept. 1982, Vol. 25, No. 4, p. 1835
<i>SW</i>	Turbat, A., "Telepayment And Electronic Money The Smart Card", <u>Commutation & Transmission n° 5</u> , 1982, pp. 11-20 - (Article)

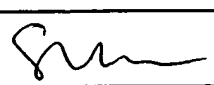
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U.S. PATENT DOCUMENTS						
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6	4,577,062	03/18/1986	Hilleary et al.			
	4,577,067	03/18/1986	Levy et al.			
	4,578,700	03/25/1986	Roberts et al.			
	4,580,012	04/01/1986	Matthews et al.			
	4,584,602	04/22/1986	Nakagawa			
	4,587,379	05/06/1986	Masuda			
	4,611,094	09/09/1986	Asmuth et al.			
	4,625,276	11/25/1986	Benton et al.			
	4,630,200	12/16/1986	Ohmae et al.			
	4,630,201	12/16/1986	White			
	4,634,809	01/06/1987	Paulsson et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
6	WO89/09530	10/05/1989	PCT Publication			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER				DATE CONSIDERED		
				9-2-95		

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FORM PTO-1449

SUPPLEMENTAL - II

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

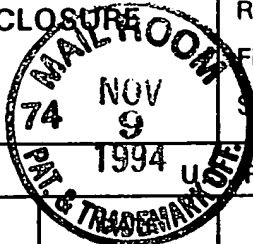
September 14, 1994

Application No.

08/306,456

Group Art Unit

2608



PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>[Signature]</i>	4,658,417	04/14/1987	Hashimoto et al.			
	4,674,044	06/16/1987	Kalmus et al.			
	4,697,282	09/29/1987	Winter et al.			
	4,706,275	11/10/1987	Kamil			
	4,745,468	05/17/1988	Von Kohorn			
	4,756,020	07/05/1988	Fodale			
	4,761,684	08/02/1988	Clark et al.			
	4,788,682	11/29/1988	Vij et al.			
	4,788,715	11/29/1988	Lee			
	4,797,913	01/10/1989	Kaplan et al.			
	4,805,209	02/14/1989	Baker, Jr., et al.			
<i>[Signature]</i>	4,812,843	03/14/1989	Champion III, et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

[Signature]

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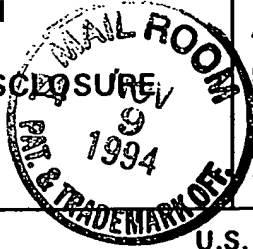
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FORM PTO-1449 SUPPLEMENTAL - II INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608	
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PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SW	4,815,121	03/21/1989	Yoshida			
	4,827,500	05/02/1989	Binkerd et al.			
	4,893,328	01/09/1990	Peacock			
	4,896,345	01/23/1990	Thorne			
	4,907,079	03/06/1990	Turner et al.			
	4,937,853	06/26/1990	Brule et al.			
	4,972,461	11/20/1990	Brown et al.			
	5,001,710	03/19/1991	Gawrys et al.			
	5,003,574	03/26/1991	Denq et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER	SW			DATE CONSIDERED		
				9-2-95		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.						

FORM PTO-1449

SUPPLEMENTAL III

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SW</i>	3,594,004	07/20/1971	Barr			
	3,998,465	12/21/1976	Mascola			
	4,121,052	10/17/1978	Richard			
	4,586,707	05/06/1986	McNeight et al.			
<i>SW</i>	4,781,377	11/01/1988	McVean et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<i>SW</i>	0 120 322	02/27/1984	EPC			No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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GROUP 2600

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date September 14, 1994	Application No. 08/306,456 Group Art Unit 2608
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SW	2,902,541	09/01/59	Singleton			
	3,394,246	07/23/68	Goldman			
	3,544,769	12/01/70	Hedin			
	3,617,638	11/02/71	Jochimsen et al.			
	3,696,335	10/03/72	Lemelson			
	3,800,283	03/26/74	Gropper			
	3,881,160	04/29/75	Ross			
	3,889,050	06/10/75	Thompson			
	3,912,874	10/14/75	Botterell et al.			
SW	3,918,174	11/11/75	Miller et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SW	OS 2929416	02/05/81	Germany			
SW	OS 3726366	02/11/88	Germany			
SW	2184327A	06/17/87	United Kingdom			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 38/1985, No. 4, pp. 131-141 (Article) - no translation	
	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 41/1988, No. 2, pp. 67-83 (Article) - no translation	
EXAMINER		DATE CONSIDERED 2-14-97

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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date September 14, 1994	Application No. 08/306,456 Group Art Unit 2608 <div style="text-align: right; padding-top: 20px;"> RECEIVED DEC -5 96 GROUP 2600 </div>
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U.S. PATENT DOCUMENTS

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S	4,054,756	10/18/77	Comella et al.			
I	4,117,278	09/26/78	Ehrlich et al.			
	4,162,377	07/24/79	Mearns			
	4,191,376	03/04/80	Goldman			
	4,241,942	12/30/80	Bachman			
	4,242,539	12/30/80	Hashimoto			
	4,299,637	11/10/81	Oberdeck et al.			
	4,348,554	09/07/82	Asmuth			
	4,360,827	11/23/82	Braun			
	4,451,087	09/10/85	Comstock			
S	4,490,583	12/25/84	Bednarz et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
S	0 229 170 A	07/22/87	EPC			
I	0 568 114 A	11/03/93	EPC			
	0 620 669 A	10/19/94	EPC			
	500138/88	01/14/88	Japan			
S	298158/90	12/20/90	Japan			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

S	C.R. Nawson, "Merlin Voice Mail VM600," British Telecommunications Engineering, vol. 4, April 1985, pp. 32-35
S	A.S. Yatagai, "Telephonic Voice Synthesis Systems," Telecommunications, Aug. 1985, pp. 56h-1, 68
S	A.J. Walte, "Getting Personal With New Technologies For Telemarketers," DM News, Feb. 15, 1987 at 50

EXAMINER S	DATE CONSIDERED 2-14-97
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U.S. PATENT DOCUMENTS

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SW	4,555,594	11/26/85	Friedes et al.			
	4,562,342	12/31/85	Solo			
	4,567,359	01/28/86	Lockwood			
	4,582,956	04/15/86	Doughty			
	4,591,190	03/27/86	Clark			
	4,614,367	09/30/86	Breen			
	4,652,998	03/24/87	Koza			
	4,663,777	05/05/87	Szeto			
	4,671,512	06/09/87	Bachman et al.			
	4,677,553	06/30/87	Roberts et al.			
SW	4,694,490	09/15/87	Harvey et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SW	41855/91	02/22/91	Japan			
SW	2 230 403 A	10/17/90	United Kingdom			
SW	2,009,937-2	08/21/90	Canada			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SW	"Shopping via a network is no longer just talk," Data Communications, Aug. 1981 at 43
I	"Growth-Oriented Systems," Restaurant Technology, Nation's Restaurant News Newspaper, Jul. 1, 1985 at 51
I	"Let your fingers do the tapping... ..and the computer the talking," Modern Office Tech., May 1984 at 80
I	"American Software unveils systems for IBM mainframes," Computerworld, Mar. 26, 1984 at 59
SW	"Business Units Get Order Entry," Computerworld, July 12, 1982 at 36

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	4,716,583	12/29/87	Groner et al.			
	4,764,666	08/16/88	Bergeron			
	4,766,604	08/23/88	Axberg			
	4,774,655	09/27/88	Kollin et al.			
	4,788,718	11/29/88	McNabb et al.			
	4,796,293	01/03/89	Blinken et al.			
	4,797,910	01/10/89	Daudelin			
	4,842,278	06/27/89	Markowicz			
	4,847,890	07/11/89	Solomon et al.			
	4,882,473	11/21/89	Bergeron et al.			
	4,894,857	01/16/90	Szlam et al.			
	4,899,375	02/06/90	Bauer et al.			
SV	4,942,598	07/17/90	Davis			

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SV	9002131	08/21/90	France			
SV	DE 4005365 A1	08/23/90	Germany			
SV	WO93105483	03/18/1993	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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SW	4,964,157	10/16/90	Aoshima			
	4,965,825	10/23/90	Harvey et al.			
	4,969,185	11/06/90	Dorst et al.			
	5,017,917	05/21/91	Fisher et al.			
	5,018,736	05/28/91	Pearson et al.			
	5,023,904	06/11/91	Kaplan et al.			
	5,046,183	09/03/91	Dorst et al.			
	5,083,272	01/21/92	Walker et al.			
	5,097,528	03/17/92	Gursahaney et al.			
	5,109,414,	04/28/92	Harvey et al.			
SW	5,127,003	06/30/92	Doll, Jr. et al.			
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
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FORM PTO-1449

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9002-1B672US7

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SW	5,146,491	09/08/92	Silver et al.			
	5,181,238	01/19/93	Medamana et al.			
	5,233,654	08/03/93	Harvey et al.			
	5,255,183	10/19/93	Katz			
	5,263,723	11/23/93	Pearson et al.			
	5,333,185	07/26/94	Burke et al.			
	5,335,277	08/02/94	Harvey et al.			
	5,351,276	09/27/94	Doll Jr., et al.			
SW	5,353,335	10/04/94	D'Urso et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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FORM PTO-1449

SUPPLEMENTAL V

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
228/046
(prev. 6646-114N5)SERIAL NO.
08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643

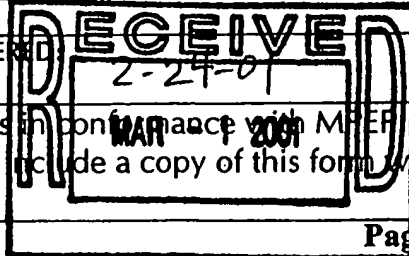
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SW	3,787,632	01/1974	Male et al.	-----	-----	-----
	3,940,569	02/1976	Schonbrun	-----	-----	-----
	3,987,252	10/1976	Vicari	-----	-----	-----
	4,068,099	01/1978	Mikkola	-----	-----	-----
	4,087,638	05/1978	Hayes et al.	-----	-----	-----
	4,090,034	05/1978	Moylan	-----	-----	-----
	4,160,129	07/1979	Peyser et al.	-----	-----	-----
	4,192,972	03/1980	Bertoglio et al.	-----	-----	-----
	4,221,933	09/1980	Cornell et al.	-----	-----	-----
	4,255,619	03/1981	Saito	-----	-----	-----
	4,360,875	11/1982	Behnke	-----	-----	-----
	4,401,856	08/1983	Curtin et al.	-----	-----	-----
	4,428,296	01/1984	Scheuchzer et al.	-----	-----	-----
	4,445,001	04/1984	Bertoglio	-----	-----	-----
	4,517,412	05/1985	Newkirk et al.	-----	-----	-----
	4,518,824	05/1985	Mondardini	-----	-----	-----
	4,549,291	10/1985	Renoulin	-----	-----	-----
	4,585,903	04/1986	Schiller et al.	-----	-----	-----
	4,591,665	05/1986	Foster et al.	-----	-----	-----
	4,600,809	07/1986	Tatsumi et al.	-----	-----	-----
	4,611,096	09/1986	Asmuth et al.	-----	-----	-----
SW	4,625,081	11/25/86	Lotito et al.	-----	-----	-----

EXAMINER:

Stella Vbo

DATE CONSIDERED



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Draw line through citation if not in conformance and not considered. Include a copy of this form with next
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FORM PTO-1449 SUPPLEMENTAL V LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 228/046 (prev. 6646-114N5)	SERIAL NO. 08/306,456
	APPLICANT: Ronald A. Katz	
	FILING DATE: September 14, 1994	GROUP: 2643

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
Sw		4,640,991	02/1987	Matthews et al.	-----	-----	-----
		4,672,660	06/1987	Curtin	-----	-----	-----
		4,685,127	08/1987	Miller et al.	-----	-----	-----
		4,747,124	05/1988	Ladd	-----	-----	-----
		4,759,056	07/1988	Akiyama	-----	-----	-----
		4,761,808	08/1988	Howard	-----	-----	-----
		4,791,666	12/1988	Cobb et al.	-----	-----	-----
		4,797,818	01/1989	Cotter	-----	-----	-----
		4,805,207	02/1989	McNutt et al.	-----	-----	-----
		4,878,240	10/1989	Lin et al.	-----	-----	-----
		4,893,325	01/1990	Pankonen et al.	-----	-----	-----
		4,896,346	1/1990	Belfield et al.	-----	-----	-----
		4,899,373	02/1990	Lee et al.	-----	-----	-----
		4,908,852	03/1990	Hird et al.	-----	-----	-----
		4,922,519	05/1990	Daudelin	-----	-----	-----
		4,933,965	02/1991	Hird	-----	-----	-----
		4,989,234	01/1991	Schakowsky et al.	-----	-----	-----
		5,036,535	07/1991	Gechter et al.	-----	-----	-----
		5,199,062	03/1993	Von Meister et al.	-----	-----	-----
		5,222,120	06/1993	McLeod et al.	-----	-----	-----
		5,299,260	03/1994	Shaio	-----	-----	-----
Sw		5,303,298	04/1994	Morganstein	-----	-----	-----

EXAMINER: Stella Ubo	DATE CONSIDERED: 2-24-01
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

FORM PTO-1449

SUPPLEMENTAL V

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
228/046
(prev. 6646-114N5)SERIAL NO.
08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S	5,303,299	04/1994	Hunt et al.	-----	-----	-----
	5,354,069	10/1994	Guttman et al.	-----	-----	-----
	5,418,844	05/1995	Morrissey et al.	-----	-----	-----

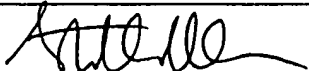
FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	0 249 795	12/1987	EPC	-----	-----	No
	2 575 016	07/1986	France	-----	-----	No
	WO 82/02132	06/1982	PCT	-----	-----	yes

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	Dial Info Articles (various articles with various dates) (R0016101-R0016188)
	Svigals, J., "Security Method For Remote Telephone Banking," IBM Technical Disclosure Bulletin, Vol. 23, No. 12, May 1981, pp. 5306-5307 (pb424)
	AT&T Conversant Voice Response Systems Historical Overview, January 1988 (FD 023585-FD023596)
	"All About Automated Attendant Systems," Datapro Research Corporation, March 1987 (SM 1000682-SM 1000691)
	"New Product - Dytel's Automated Switchboard Attendant," reprinted from Business Communications Review, March-April 1984, pp. 39-41 (SM10006976-SM1000699)
	Arbogast, James G. et al., "Home Diabetes Monitoring Through Touch-Tone Computer Data Entry and Voice Synthesizer Response," Annual Symposium on Computer Applications in Medical Care 8th Care Proceedings - Eighth Annual Symposium on Computer Applications in Medical Care, 1984 (MMI 020731)
	Perdue, Robert J., et al., "AT&T Voice Processing System Architectures," AT&T Technical Journal, September/October 1990, pp. 52-60 (MMI 024142-MMI 024151)
	Sable, E.G., et al., "AT&T Network Services Architecture Capabilities, Administration and Performance," AT&T Technical Papers, International Switching Symposium-ISS '87, AT&T Network Systems, March 15, 1987
	"The Stored Program Controlled Network" The Bell System Technical Journal, September 1982
SW	The World's Telephones, a Statistical Compilation as of January 1980, AT&T Long Lines, 1981 (Book)

EXAMINER:



DATE CONSIDERED:

2-24-01

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FORM PTO-1449 SUPPLEMENTAL VA LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 228/046 (prev. 6646-114N5)	SERIAL NO. 08/306,456 ✓
APPLICANT: Ronald A. Katz		
FILING DATE: September 14, 1994		GROUP: 2643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S	3,553,378	01/1971	Solomon, et al.	-----	-----	-----
	3,829,628	08/1974	Tripsas	-----	-----	-----
	4,565,903	01/1986	Riley	-----	-----	-----
	4,581,486	04/1986	Matthews, et al.	-----	-----	-----
	4,750,199	06/1988	Norwich	-----	-----	-----
	4,761,807	08/1988	Matthews, et al.	-----	-----	-----
	4,782,519	11/1988	Patel, et al.	-----	-----	-----
	4,785,473	11/1988	Pfeiffer, et al.	-----	-----	-----
	4,799,255	01/1989	Billinger, et al.	-----	-----	-----
	4,856,066	08/1989	Lemelson	-----	-----	-----
	4,932,021	06/1990	Moody	-----	-----	-----
	4,951,307	08/1990	Willard	-----	-----	-----
	4,959,855	09/1990	Daudelin	-----	-----	-----
	5,033,076	07/1991	Jones, et al.	-----	-----	-----
	5,033,088	07/1991	Shipman	-----	-----	-----
	5,054,059	10/1991	Stern, et al.	-----	-----	-----
	5,125,024	06/1992	Gokcen, et al.	-----	-----	-----
	5,148,474	06/1992	Haralambopoulos, et al.	-----	-----	-----
	5,164,981	11/1992	Mitchell, et al.	-----	-----	-----
	5,168,548	12/1992	Kaufman, et al.	-----	-----	-----
	5,179,585	01/1993	MacMillan, Jr., et al.	-----	-----	-----
S	5,181,236	01/1993	LaVallee, et al.	-----	-----	-----

EXAMINER: Stella Woo	DATE CONSIDERED: 2-24-01
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FORM PTO-1449 SUPPLEMENTAL VA LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 228/046 (prev. 6646-114N5)	SERIAL NO. 08/306,456
APPLICANT: Ronald A. Katz		
FILING DATE: September 14, 1994		GROUP: 2643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S		5,186,471	02/1993	Vancraeynest	-----	-----	-----
		5,214,689	05/1993	O'Sullivan	-----	-----	-----
		5,236,199	08/1993	Thompson, Jr.	-----	-----	-----
		5,289,531	02/1994	Levine	-----	-----	-----
		5,327,554	07/1994	Palazzi, III, et al.	-----	-----	-----
		5,361,295	11/1994	Solomon, et al.	-----	-----	-----
		5,369,685	11/1994	Kero	-----	-----	-----
		5,416,830	05/1995	MacMillan, Jr., et al.	-----	-----	-----
		5,511,112	04/1996	Szlam	-----	-----	-----
		5,537,143	07/1996	Steingold, et al.	-----	-----	-----
		5,561,710	10/1996	Helms	-----	-----	-----
		5,623,536	04/1997	Solomon, et al.	-----	-----	-----
		5,651,048	07/1997	Leeuw	-----	-----	-----
	5,768,348	06/1998	Solomon, et al.	-----	-----	-----	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
S	1162484	04/1967	Great Britain	-----	-----	Yes
	WO 89/11768	11/1989	PCT	-----	-----	Yes
	WO 90/10989	09/1990	PCT	-----	-----	Yes
	WO 90/11661	10/1990	PCT	-----	-----	Yes
	WO 91/15818	10/1991	PCT	-----	-----	Yes
SW	WO 92/06548	04/1992	PCT	-----	-----	Yes

EXAMINER: Stella Ubo	DATE CONSIDERED: 2-24-01
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FORM PTO-1449 SUPPLEMENTAL VA LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 228/046 (prev. 6646-114N5)	SERIAL NO. 08/306,456
	APPLICANT: Ronald A. Katz	
	FILING DATE: September 14, 1994	GROUP: 2643

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO
Sw		WO 92/09164	05/1992	PCT	-----	-----	Yes	
		WO 92/15166	09/1992	PCT	-----	-----	Yes	
		2253542	09/1992	Great Britain	-----	-----	Yes	
		EP 0 382 212 B1	07/1998	EPC	-----	-----	Yes	
		EP 0 382 670 B1	04/1997	EPC	-----	-----	Yes	
		EP 0 438 860 B1	09/1996	EPC	-----	-----	Yes	
		0 451 693 A2	10/1991	EPC	-----	-----	Yes	
		0 451 695 A2	10/1991	EPC	-----	-----	Yes	
		0 453 831 A2	10/1991	EPC	-----	-----	Yes	
Sw		0 454 363 A2	10/1991	EPC	-----	-----	Yes	

EXAMINER: Stella Ubo	DATE CONSIDERED: 2-24-01
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FORM PTO-1449		ATTY. DOCKET NO. 228/0461 (prev. 6646-114N5)	SERIAL NO. 08/306,456 ✓
SUPPLEMENTAL VI		APPLICANT: Ronald A. Katz	
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE: September 14, 1994	GROUP: 2643
(Use several sheets if necessary)			

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SV		4,689,742	08/25/87	Troy et al.	-----	-----	-----
		4,710,955	12/01/87	Kauffman	-----	-----	-----
		4,832,341	05/23/89	Muller	-----	-----	-----
		4,835,630	05/1989	Freer	-----	-----	-----
		4,850,007	07/18/89	Marino et al.	-----	-----	-----
		4,916,726	04/10/90	Morley, Jr. et al.	-----	-----	-----
		4,926,462	05/15/90	Ladd et al.	-----	-----	-----
		5,000,486	03/19/91	Rua, Jr. et al.	-----	-----	-----
		5,003,595	03/1991	Collins et al.	-----	-----	-----
		5,128,984	07/07/92	Katz	-----	-----	-----
		5,351,285	09/1994	Katz	-----	-----	-----
		5,403,999	04/04/95	Entenmann et al.	-----	-----	-----
		5,415,416	05/16/95	Scagnelli et al.	-----	-----	-----
		5,475,205	12/12/95	Behm et al.	-----	-----	-----
		5,490,207	02/06/96	Schorr	-----	-----	-----
		5,537,143	07/16/96	Steingold et al.	-----	-----	-----
		5,599,046	02/14/97	Behm et al.	-----	-----	-----
		5,709,603	01/20/98	Kaye	-----	-----	-----
		5,787,156	07/28/98	Katz	-----	-----	-----
SV		5,790,636	08/1998	Marshall	-----	-----	-----

EXAMINER: <i>Jella Wbo</i>	DATE CONSIDERED: <i>2-24-01</i>
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FORM PTO-1449 SUPPLEMENTAL VI LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 228/0461 (prev. 6646-114N5)	SERIAL NO. 08/306,456
APPLICANT: Ronald A. Katz		
FILING DATE: September 14, 1994		GROUP: 2643

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S		5,815,551	09/1998	Katz	-----	-----	-----
		5,835,576	11/10/98	Katz	-----	-----	-----

FOREIGN PATENT DOCUMENTS									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO		
		32 25 562	01/19/84	Germany	-----	-----		No	
		2 252 270 B	08/1992	Great Britain	-----	-----	yes		
		0 217 308.A2	04/08/87	Europe	-----	-----	yes		
		0 917 335 A2	05/19/99	Europe	-----	-----	yes		
		246067/1988	10/13/88	Japan	-----	-----		No	
		009353/1988	01/16/88	Japan	-----	-----		No	
		117436/1989	05/10/89	Japan	-----	-----		No	
		54-62708	05/21/79	Japan	-----	-----		No	
		52-17739	02/09/77	Japan	-----	-----		No	
		59-83270	05/14/84	Japan	-----	-----		No	
		62-92654	04/28/87	Japan	-----	-----		No	
		62-98848	05/08/87	Japan	-----	-----		No	
Sm		63-114442	05/19/88	Japan	-----	-----		No	

EXAMINER: Stella Woo	DATE CONSIDERED: 2-24-01
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	APPLICANT: Ronald A. Katz	
	FILING DATE: September 14, 1994	GROUP: 2643

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
<i>S</i>		Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified.
		A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.
		An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc.
		A trademark scan (U.S. Federal) indicating a first date of use for Telebroker in June 18, 1988.
		Bulfer, Andrew F., "AT&T's Pay-Per-View Television Trial", published in AT&T Technical Journal, May/June, 1987
		Friedes, A., et al., "ISDN opportunities for large business - 800 service customers," IEEE International Conference on Communications '86, June 22-25, 1986, Vol. 1, pp. 28-32
		Allyn, Mark R. et al., "Planning for people: Human factors in the design of a new service," Bell Laboratories Record, May 1980, pp. 155-161
<i>gm</i>		Hanson, Bruce L., et al., "No. 1A VSS New custom calling services," Bell Laboratories Record, June 1980, pp. 174-180

EXAMINER: <i>Stella Ubo</i>	DATE CONSIDERED: <i>2-24-01</i>
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
ST	WO 87/00370	01/15 /1987	PCT (Int. Application)	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Aarons, D., "The Voice of the 80's," PC Magazine, Volume 4, Number 5, March 5, 1985, p. 114 (A21707135)					
	"ACD 'Magic' from AT&T's Merlin," Telecommunications Product Review, Volume 13, Number 4, April 1986 (A21708371)					
	"Actor Promotes Phone Services," Hammond Louisiana Star, August 23, 1984 (A21708860)					
	Allerbeck, M., "Experience with the Voice Mail System EMS 2000 Info - Results of an Acceptance Study," ISS '84 Florence, May 1984, Session 14 A, Paper 6, Page 1					
	Allyn, Mark R., et al., "Human Factors in the Design of a New Service," Bell Laboratories Record, Volume 58, Number 8, May 1980 (A21709530)					
	Amano, Fumio, et al., "Imagephone II: Integrated Voice/Data Terminal With Hand-Drawing Man-Machine Interface," IEEE, 1985 (A03701430)					
	Ambrosio, Johanna, "Electronic and Voice Mail; They're No Match for Each Other-Yet," Computerworld, May 19, 1986, p. 53 (A21708461)					
	"American-Network: Files Complaint Against Pacific Northwest Bell," Business Wire, June 4, 1986 (A21708495)					
	"American-Network: Signs Letter of Intent to Merge L D Communications Long Distance Service into the Company," Business Wire, August 14, 1984 (A21708856)					
	Andrews, Edmund L., "Patents: Computer System Lets TV Audience Join Show," The New York Times, December 24, 1988 (A01331146)					
	Amst, Catherine, "Untitled Article, Reuters, September 16, 1984 (A21708908)					
SW	"AT&T Announces Major Additions to Telemarketing Products and Services," Telephone News, December 14, 1987 (A21723927)					
EXAMINER				DATE CONSIDERED		
Stella Woo				2-24-01		
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
5		*AT&T; AT&T Announces New Software Enhancements," Business Wire, February 10, 1987 (A21707782)				
		*AT&T Announces New Software Enhancements for its PBX," PR Newswire, February 10, 1987 (A21707779)				
		*AT&T Computer System Will Be Produced Here," The Columbus Dispatch, September 9, 1985 (A01354694)				
		*AT&T CPU Puts Voice Recog on Any Phone," Article Source Unknown (A01354681)				
		*AT&T Conversant Voice Response System," Information Packet, Date Unknown				
		*AT&T's Digital MERLIN," Telecommunications Product Review, Volume 13, Number 7, July 1987 (A21724743)				
		*AT&T's Flagship System 75: A Comprehensive Analysis of the System 85's 'Little Cousin," Telecommunications Product Review, Volume 11, Number 7, July 1984 (A21724612)				
		*AT&T Forms Unit to Sell Synthetic Speech Systems," Wall Street Journal, September 10, 1985 (A01354689)				
		*AT&T Plans Computer Unit," The New York Times, September 10, 1985 (A01354690)				
		*AT&T; Showtime's Viewer's Choice, Viacom Cable and AT&T to Test Pay-Per-View Ordering System," Business Wire, December 5, 1985 (A21708103)				
		*AT&T Sports Service," PR Newswire, September 24, 1980 (A21710432)				
		*The AT&T System 25," Telecommunications Product Review, Volume 13, Number 8, August 1986 (A21706368)				
gn		Press Release, PR Newswire, November 1, 1984 (A21708963)				
EXAMINER <i>Stella Woo</i>				DATE CONSIDERED <i>2-24-91</i>		
EXAMINER: Initial if citation considered; whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.						

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	AT&T Technical Journal – The 5ESS Switching System, Volume 64, Number 6, Part 2, July-August 1985 (A21723626)					
	Excerpt from AT&T Technical Journal, September-October 1990, pp. 53-60 (A21723942)					
	"Automated Switchboard Attendant Helps Insurance Company Control Net Expenses," Communications News, July 1985 (A21726011)					
	Aversano, Nina, "The Telephone as Computer," Review of Business, Fall 1989, p.5 (A21723928)					
	Ayres, Paul, "Voice Response Pay-By-Phone Matures," Computerworld, November 9, 1979, p. 47 (A21725960)					
	Baker, Janet M., "Voice-Store-And-Forward: The Voice Message Medium," Speech Technology, August-September 1984 (A21724633)					
	Bakke, Bruce B., "Electronic Voice Mailbox: Potential for Fast Growth," BC Cycle, June 29, 1984 (A21708785)					
	Bakke, Bruce, B., "GTE's 'Voice Mailboxes' Page the World," U.P.I., April 11, 1983 (A21713359)					
	Barbetta, Frank, "AT&T Offers Digital PBX Enhancements," Electronic News, Volume 30,, November 5, 1984, p. 69 (A21708967)					
	Barbetta, Frank, "Custom Functions Offered in New AT&T Co. Package," Article Source Unknown (A21726008)					
	Barkauskas, B. J., et al., "Network Services Complex: A Generalized Customer Interface to the Telephone Network," IEEE International Conference on Communications, Conference Record, Volume 2, June 1983, p. 805 (A21725715)					
	Barlin, David, "Switch-Hitter: A Data Man's Guide to the World of Voice," Data Communications, October 1984, p. 114 (A21708926)					
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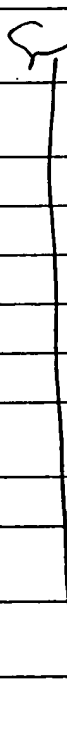

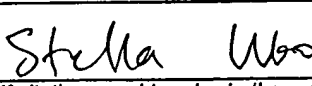
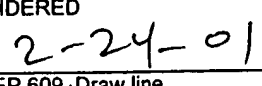
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SERIAL NO.
08/306,456

FILING DATE:
September 14, 1994

GROUP:
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**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
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(Use several sheets if necessary)

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ATTY. DOKKE.
228/046

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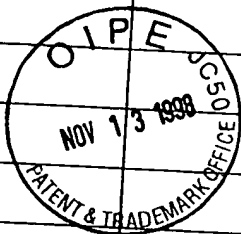
APPLICANT:
Ronald A. Katz

FILING DATE:
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